

**SkyBridge Invest JSC’s Brokerage and Nominee Holding Services Tariffs Applicable to Resident Individuals**

Transaction type	Individual tariffs	
<b>Nominee holding services</b>		
Opening /management/closing of a customer account	For free	
Putting financial instruments in/withdrawal from nominee holding	<ul style="list-style-type: none"> <li>▪ Putting a financial instrument of any type – for free,</li> <li>▪ Withdrawal of securities from (per type identified by NIN/ISIN) – 10 MCI</li> </ul>	
Money transfer, in Tenge	<ul style="list-style-type: none"> <li>• Before 01.00 p.m. – 0.15%, up to 1 MCI</li> <li>• After 01.00 p.m. – 0.25%, up to 2 MCI</li> </ul>	
Money transfer, in a foreign currency	<ul style="list-style-type: none"> <li>• Before 03.00 p.m. – 0.35%, at least 20,000 Tenge, but not more than 135,000 Tenge</li> <li>• After 03.00 p.m. – 0.55%, at least 60,000 Tenge, but not more than 155,000 Tenge</li> </ul>	
Issue of periodic statements - on a monthly basis (statement of customer account as of the end of month, customer account history report for the reporting month and other statements as required by the laws of the RoK)	For free	
Conversion of a financial instrument (conversion from depositary receipts to shares, from shares to depositary receipts)	1.5 Tenge per depositary receipt, at least 5 MCI	
Registration of an encumbrance/pledge	0.2% of the transaction amount, at least 5 MCI	
Dematerialization of a financial instrument	0.02% of the financial instrument’s fair market value, at least 15 MCI	
Proxy voting on corporate actions	5 MCI	
Safekeeping of securities at Central Securities Depository JSC - depending on the volume of assets	0.03% p.a.	
Safekeeping of securities at custodian banks - depending on the volume of assets	0.2% p.a.	
<b>Brokerage services</b>		
	<b>If paper-based instructions are given</b>	<b>Electronically via a trading platform</b>
Purchase/sale of financial instruments in the local markets - depending on the transaction amount	0.15%, at least 2 MCI	0.1% of amount, at least 1 MCI
Purchase/sale of financial instruments in the over-the-counter/international market - depending on the transaction amount	<ul style="list-style-type: none"> <li>▪ 0.25% if up to 45 million Tenge, at least 3 MCI</li> <li>▪ 0.15% if over 45 million Tenge</li> </ul>	0.15% of the amount, at least 2 MCI

Transaction type	Individual tariffs
<b>Nominee holding services</b>	
REPO transactions in case of a reverse REPO operation (placement of funds) – as a percentage of the customer’s income;	0.5% of the amount of income within one client order, at least 2 MCI per order
in case of a REPO operation (raising funds) – as a percentage of the difference between the closing and opening volumes	1% of the amount of income within one client order, at least 2 MCI per order
Currency conversion at the exchange – depending on the conversion volume	0.05% of the transaction amount, at least 1 MCI

**Notes**

- To calculate the tariffs, the MCI applicable to the relevant calendar year shall be used.
- The above rates and tariffs include the rates and tariffs of any third parties, i.e. other professional market participants. The tariffs of Kazakhstan Stock Exchange JSC, Central Securities Depository JSC, custodian banks, second-tier banks, AIFC Exchange, AIFC Depository, and other professional market participants shall be paid by the Customer separately according to invoices issued by the Company stating such reimbursable expenses, other than any fees of the international intermediary brokers, stamp duties of the foreign stock exchanges and other commissions included in the transactions’ amount. Any duties, taxes and fees that shall be withheld from the transactions in compliance with the legislation of the country where such transactions are effected, shall be payable by the Client and will not be deemed an obligation of the Company.
- The Company’s remuneration for transactions shall be calculated depending on the total volume of that part of the customer’s order that is fulfilled. So, if two or more transactions are concluded under a single order, the fees shall be calculated based on the total amount of the transactions concluded under such order.
- The tariffs shall not be applicable to any transactions conducted because of the Company’s underwriting activities.
- If a customer is rendered the financial consultant and/or underwriter services by the Company and for this purpose receives the services of the Company as a broker, according to an agreement with such customer, brokerage fees may be included in the total cost of the services received from the Company as specified in the financial advisor and/or underwriter service agreement.
- The fees shall be accrued and charged from the monthly trading volume, except for any repo transactions and operations with derivatives, as well as third parties’ commissions.
- The customer’s trading volume means the total net volume of the Customer’s transactions for the purchase/sale of financial instruments (excluding the volume of any repo transactions and operations with derivatives, as well as third parties’ commissions) over a period of time.

The fees from the trading volume in the international market shall be accrued in Tenge at the official rate established by the National Bank of the Republic of Kazakhstan as of the last calendar day of the month the accrual relates to. The fees from transactions in the international market shall be accrued in Tenge at the official rate established by the National Bank of the Republic of Kazakhstan as of the actual transaction settlement date.