## Overview of the amendments to the

Contract on Brokerage and Nominal Holding Services (standard form) (approved by Minutes of the Management Board of SkyBridge Invest JSC No. 25 dated 05.08.2024)

\* The text that was excluded is highlighted in red, the text that was added is highlighted in blue.

Current edition	New edition
3.12. Within the next Business Day or in any other term stipulated by the Legislation after receiving the confirmation from the Depository, Custodian on the operation performed, the Broker shall generate a report on execution /non-execution of the Client's Order and send it to the Client Profile or by e-mail or other means of communication stipulated herein. The Original Report can be provided to the Client as requested at the Broker's location.	3.12. Within the next Business Day or in any other term stipulated by the Legislation after receiving the confirmation from the Depository or Custodian on the operation performed, the Broker shall generate a report on execution /non-execution of the Client's Order and send it to the Client Profile. The Original Report can be provided to the Client as requested at the Broker's location.
4.4. In order to make transactions, the Customer shall be obliged to ensure the necessary amount of funds/securities and other financial instruments on the Client Personal Account prior to submission of the Client's Order.	4.4. In order to make transactions, the Customer shall be obliged to ensure the necessary amount of funds/securities and other financial instruments on the Client Personal Account (including Broker's remuneration) prior to submission of the Client's Order.
4.16. [not available]	<ul> <li>4.16. In case of inheritance of securities/rights of claim for obligations of the issuer on issue securities, transactions on debiting/crediting securities/rights of claim for obligations of the issuer on issue securities from/to the Client Account of the Client shall be registered on the basis of the following documents: <ul> <li>relevant order submitted by the successor or his representative;</li> <li>the original or a notarized copy of the certificate of right of inheritance;</li> <li>documents confirming the authority of the successor's representative; and</li> <li>documents required for opening a Client Account, if the successor does not have a Client Account in the nominee holding system.</li> </ul> </li> </ul>
<ul> <li>5.2. The Broker shall be entitled: <ul> <li>to change unilaterally the terms and conditions hereof and the amount of fees;</li> <li>to receive the commission fee for the Services rendered, and compensation for the expenses incurred as a result of provision of the Services to the Client;</li> <li>not to accept the Client's Order for execution if the Client has insufficient funds, securities and/or other financial instruments on the Broker's accounts for execution of the transaction;</li> <li>not to accept the Client's Order/Client's Instruction for execution if the Client fails to fulfil its obligations hereunder, including the obligations to pay the Broker's commission fee and to compensate the expenses incurred by the Broker due to provision of the Services hereunder;</li> <li>not to accept the Client's Order for execution if there is a risk that the transaction may be classified by the Competent Authority as a transaction executed for the purpose of manipulation in the securities market and/or in</li> </ul> </li> </ul>	<ul> <li>5.2. The Broker shall be entitled: <ul> <li>to change unilaterally the terms and conditions hereof and the amount of fees;</li> <li>to receive the commission fee for the Services rendered, and compensation for the expenses incurred as a result of provision of the Services to the Client;</li> <li>not to accept the Client's Order for execution if the Client has insufficient funds, securities and/or other financial instruments on the Broker's accounts for execution of the transaction and settlement of the Broker's remuneration and other third parties' fees applicable to execution of the Client's Order;</li> <li>not to accept the Client's Order/Client's Instruction for execution if the Client fails to fulfil its obligations hereunder, including the obligations to pay the Broker's commission fee and to compensate the expenses incurred by the Broker due to provision of the Services hereunder;</li> <li>not to accept the Client's Order for execution if there is a risk that the transaction may be classified by the Competent Authority as a transaction executed for the purpose of</li> </ul> </li> </ul>

- cases stipulated by the anti-money laundering and anti-terrorism financing legislation;
- not to accept the Client's Order/Client's Instruction for execution if in respect of such Client's Order/Client's Instruction the Broker has received inherently unsatisfiable instructions or instructions, which if fulfilled will imply actions contradicting the current Legislation, the Broker's Internal Documents and Contract;
- not to execute the Client's Order/Client's Instruction if the Client's Order/Client's Instruction submitted by the Broker in the AIX Trading System is rejected, amended or cancelled, or the Broker's access to the trading system is suspended and/or restricted upon the initiative of AIX;
- to charge penalties in the manner and at the rates stipulated herein where the Client fails to perform the accepted obligations hereunder;
- to withdraw from the Client Personal account without acceptance the amounts due for the purchased financial instruments, the Broker's commission fee, penalties for delays in payments hereunder, and amounts of any expenses directly incurred as a result of transactions in or custody of the Client's financial instruments that are reimbursable to the Broker;
- to suspend provision of the Services to the Client until the Client fulfils its liabilities and to charge a penalty according to the Contract in case of a delay in payment of the invoice issued by the Broker;
- if the Client fails to notify the Broker of any changes to their contact information or details resulting in loss of communication with the Client, at its sole discretion while performing certain operations, such as transfer of income on financial instruments to the Client, disclosure of information on the Client, etc.:
  - ✓ to use any available information on the Client (bank details, etc.);
  - ✓ to suspend any operations with the Client's financial instruments until the situation is unambiguously clarified.

In both cases, the actions of the Broker shall be deemed to be duly performed;

- in the event of performance of the obligations to close a repo transaction at the Broker's expense due to the Client's default on the provision of financial instruments or funds, the Broker shall be entitled to retain the financial instruments and funds credited to the Client's subaccount for this transaction; in addition, the Client shall compensate the Broker for any losses not covered by the financial instruments and/or funds written down by the Broker from the Client's subaccount;
- to demand any further documents during the validity period hereof;
- to provide a statement from the Client Account or report on execution/non-execution of the order/instruction and other reports, notices and documents electronically, including by sending

- manipulation in the securities market and/or in cases stipulated by the anti-money laundering and anti-terrorism financing legislation;
- not to accept the Client's Order/Client's Instruction for execution if in respect of such Client's Order/Client's Instruction the Broker has received inherently unsatisfiable instructions or instructions, which if fulfilled will imply actions contradicting the current Legislation, the Broker's Internal Documents and Contract;
- not to execute the Client's Order/Client's
   Instruction if the Client's Order/Client's
   Instruction submitted by the Broker in the AIX
   Trading System is rejected, amended or
   cancelled, or the Broker's access to the trading
   system is suspended and/or restricted upon the
   initiative of AIX;
- to charge penalties in the manner and at the rates stipulated herein where the Client fails to perform the accepted obligations hereunder;
- to withdraw from the Client Personal account without acceptance the amounts due for the purchased financial instruments, the Broker's commission fee, penalties for delays in payments hereunder, and amounts of any expenses directly incurred as a result of transactions in or custody of the Client's financial instruments that are reimbursable to the Broker;
- to suspend provision of the Services to the Client until the Client fulfils its liabilities and to charge a penalty according to the Contract in case of a delay in payment of the invoice issued by the Broker;
- if the Client fails to notify the Broker of any changes to their contact information or details resulting in loss of communication with the Client, at its sole discretion while performing certain operations, such as transfer of income on financial instruments to the Client, disclosure of information on the Client, etc.:
  - ✓ to use any available information on the Client (bank details, etc.);
  - ✓ to suspend any operations with the Client's financial instruments until the situation is unambiguously clarified.

In both cases, the actions of the Broker shall be deemed to be duly performed;

- in the event of performance of the obligations to close a repo transaction at the Broker's expense due to the Client's default on the provision of financial instruments or funds, the Broker shall be entitled to retain the financial instruments and funds credited to the Client's subaccount for this transaction; in addition, the Client shall compensate the Broker for any losses not covered by the financial instruments and/or funds written down by the Broker from the Client's subaccount;
- to demand any further documents during the validity period hereof;
- to provide a statement from the Client Account or report on execution/non-execution of the order/instruction and other reports, notices and

- e-mail messages and/or informing through the Client Profile, and to confirm execution/non-execution of the Client's Order/Instruction by telephone notification (call, SMS and other types of telephone messages). In this case, statement from the Client Account and report on executed operations shall become available to the Client who uses the Services provided through the Client Profile in the Client Profile not later than the business day following the registration date of the transaction;
- to control the procedure of using the trading platform by the Client, including, but not limited to, data on the information obtained, authentication data;
- to set limits in the Client Profile to limit the Client's options to conclude transactions based on the contractual terms, legislative requirements, internal security procedures, the requirements of regulators, and rules of the trade organisers;
- to suspend unilaterally or repudiate performance of the obligations hereunder by giving a notice to the Client thereof through the Client Profile or otherwise, in the case of:
  - ✓ planned preventive maintenance of the trading platform, Client Profile, planned technological changes in the trading platform and Client Profile;
  - ✓ becoming aware of any unauthorized use of the information received by the Client through the Client Profile;
- to suspend unilaterally or repudiate performance of the obligations hereunder without notice to the Client, in the case of:
  - ✓ any reasons to believe that the Client has unauthorized access to the trading platform;
  - ✓ any reasons to believe that the Client may perform unauthorised or malicious acts in the Client Profile;
  - ✓ failures in the Client Profile when performing unplanned preventive maintenance;
  - ✓ disputable claim related situations, including due to the use of or inability to use the Client Profile, until such disputes are resolved, or until the parties reach an interim agreement;
  - ✓ the Client's violation of the effective legislation regulating the activities in the securities market, the Client's breach of the Contract, violation of the rules of the exchange information owners, and rules of the Broker or communication channel providers; as well as
  - ✓ in case of technical malfunction of the software and hardware of the trade organizer;
- to terminate the Contract unilaterally by giving a prior notice to the Client in writing under the terms and within the timeframe set forth herein;
- to provide information, analytical consulting services;

- documents electronically through the Client Profile, and to confirm execution/non-execution of the Client's Order/Instruction by telephone notification (call, SMS and other types of telephone messages). In this case, statement from the Client Account and report on executed operations shall become available to the Client who uses the Services provided through the Client Profile in the Client Profile not later than the business day following the registration date of the transaction;
- to control the procedure of using the trading platform by the Client, including, but not limited to, data on the information obtained, authentication data;
- to set limits in the Client Profile to limit the Client's options to conclude transactions based on the contractual terms, legislative requirements, internal security procedures, the requirements of regulators, and rules of the trade organisers;
- to suspend unilaterally or repudiate performance of the obligations hereunder by giving a notice to the Client thereof through the Client Profile or otherwise, in the case of:
  - ✓ planned preventive maintenance of the trading platform, Client Profile, planned technological changes in the trading platform and Client Profile;
  - ✓ becoming aware of any unauthorized use of the information received by the Client through the Client Profile;
- to suspend unilaterally or repudiate performance of the obligations hereunder without notice to the Client, in the case of:
  - ✓ any reasons to believe that the Client has unauthorized access to the trading platform;
  - ✓ any reasons to believe that the Client may perform unauthorised or malicious acts in the Client Profile;
  - ✓ failures in the Client Profile when performing unplanned preventive maintenance;
  - ✓ disputable claim related situations, including due to the use of or inability to use the Client Profile, until such disputes are resolved, or until the parties reach an interim agreement;
  - ✓ the Client's violation of the effective legislation regulating the activities in the securities market, the Client's breach of the Contract, violation of the rules of the exchange information owners, and rules of the Broker or communication channel providers; as well as
  - ✓ in case of technical malfunction of the software and hardware of the trade organizer;
- to terminate the Contract unilaterally by giving a prior notice to the Client in writing under the terms and within the timeframe set forth herein:
- to provide information, analytical consulting services;

 have other rights provided for by the Legislation, the Broker's Internal Documents, and the Contract.

## 5.3. The Client shall be obliged:

- to provide the Broker with any information that may be necessary for the Broker's fulfilment of its obligations hereunder, and documents requested in line with the requirements of the Legislation and Internal Documents of the Broker and comply with the terms of completeness, accuracy and timeliness thereof;
  - to provide the Broker with the documents for recognition of the Client as an eligible investor provided the Client's intention to purchase financial instruments permitted for acquisition using funds of eligible investors only, and documents to confirm the Client's status as an eligible investor in line with the regulatory legal act of the Competent Authority;
  - to bear risks related with operations in financial instruments;
  - to cover expenses incurred by the Client as a result of the extension of the trading day;
  - to submit the Client's Order for operations in funds or financial instruments within the balance of funds or financial instruments at the Client Personal Account held with the Broker (provided that funds or securities on the Client Account are not less than the amount of funds or securities as specified in the Client's Order);
  - to execute and furnish the Client's Orders for operations on the Client Account in line with the Broker's Internal Documents;
  - to ensure that the assets being the subject of the intended transaction, including the Broker's and third-party commission fees arising during this operation, are timely credited to the Broker's relevant accounts;
  - to notify the Broker immediately in writing of the decision to cancel the Client's Order within 1 (one) business day. If the cancellation decision is received after the Broker has executed the operation according to the received Client's Order, the Client shall undertake all obligations arising from operations executed by the Broker;
  - to cover the Broker's expenses, which are related to transactions in financial instruments, and Broker's remuneration, within 10 (ten) business days from receipt of the payment invoice;
  - to notify immediately of any changes in its details and contact information; the Broker shall not be liable to the Client for any losses incurred due to a failure to receive or untimely receipt of any information from the Client regarding the above-mentioned changes;
  - to pay in due time and to the fullest extent the commission fee to the Broker, to reimburse forfeits charged from the Broker based on the internal documents of the Stock Exchange

 have other rights provided for by the Legislation, the Broker's Internal Documents, and the Contract.

## 5.3. The Client shall be obliged:

- to provide the Broker with any information that may be necessary for the Broker's fulfilment of its obligations hereunder, and documents requested in line with the requirements of the Legislation and Internal Documents of the Broker and comply with the terms of completeness, accuracy and timeliness thereof:
- to provide the Broker with the documents for recognition of the Client as an eligible investor provided the Client's intention to purchase financial instruments permitted for acquisition using funds of eligible investors only, and documents to confirm the Client's status as an eligible investor in line with the regulatory legal act of the Competent Authority;
- to bear risks related with operations in financial instruments;
- to cover expenses incurred by the Client as a result of the extension of the trading day;
- to submit the Client's Order, request and other inquiries for operations in funds or financial instruments within the balance of funds or financial instruments at the Client Personal Account held with the Broker including Broker's respective remuneration and other applicable third parties' fees. Therefore, the funds or securities on the Client Account shall be sufficient for covering the amount of funds or securities as specified in the Client's Order, request and other inquiries and all other fees applicable due to its execution;
- to execute and furnish the Client's Orders for operations on the Client Account in line with the Broker's Internal Documents;
- to ensure that the assets being the subject of the intended transaction, including the Broker's and third-party commission fees arising during this operation, are timely credited to the Broker's relevant accounts;
- to notify the Broker immediately in writing of the decision to cancel the Client's Order within 1 (one) business day. If the cancellation decision is received after the Broker has executed the operation according to the received Client's Order, the Client shall undertake all obligations arising from operations executed by the Broker;
- to cover the Broker's expenses, which are related to transactions in financial instruments, and Broker's remuneration (if such a remuneration has not been written off by the Broker within execution of the Client's Order), within 10 (ten) business days from receipt of the payment invoice;
- to notify immediately of any changes in its details and contact information; the Broker shall not be liable to the Client for any losses incurred due to a failure to receive or

- and expenses incurred by the Broker if the Client refuses executing the transaction concluded by the Broker and the Broker fulfils the obligations in good faith;
- to assume obligations to a third party (such as exchange commissions, commission fees of international brokers, etc.) inflicted by the Broker in the process of execution of the Client's Order;
- not to perform/conclude transactions in securities and other financial instruments in the regulated and non-regulated securities market for the purpose of manipulation;
- not to conclude transactions in securities and other financial instruments performed only for the sake of appearance, without an intention to create the relevant legal consequences;
- to ensure that transactions, which are directed to be concluded by the Broker, are compliant with the requirements of the legislation, applicable including requirements to the major and related party transactions, making decisions participation and termination of participation in other organisations, and that the Client has obtained any and all necessary permits and approvals for submission by the Client of relevant Client's Orders/Instructions for transactions in financial instruments;
- to control indications of the unlawful use of the insider information in its activities, including when sending the Client's Orders/Instructions to the Broker for transactions in financial instruments;
- not to perform any actions aimed at defamation and/or discrediting the Broker's reputation, including dissemination of incomplete, unreliable and misleading information about the Broker;
- to bear tax and other obligations under transactions in financial instruments conducted by the Broker as provided for by the Legislation;
- use the Client Profile only for performance of the contractual obligations hereunder;
- to prevent disclosure and/or reproduction, and/or dissemination, whether in part or in full, of any information related to operation of the Client Profile and constituting a trade secret to third parties, and any other information (unless the Client is authorised by the Broker to disclose, and/or reproduce, and/or disseminate such information) which is made available to them as a result of operation of the Client Profile;
- to ensure the adequate protection of its information system which prevents further dissemination of the information obtained with the use of the Client Profile to third parties;
- to prevent unauthorized actions that threaten the functioning of the Client Profile or the security of the information contained therein;

- untimely receipt of any information from the Client regarding the above-mentioned changes;
- to pay in due time and to the fullest extent the commission fee to the Broker, to reimburse forfeits charged from the Broker based on the internal documents of the Stock Exchange and expenses incurred by the Broker if the Client refuses executing the transaction concluded by the Broker and the Broker fulfils the obligations in good faith;
- to assume obligations to a third party (such as exchange commissions, commission fees of international brokers, etc.) inflicted by the Broker in the process of execution of the Client's Order;
- not to perform/conclude transactions in securities and other financial instruments in the regulated and non-regulated securities market for the purpose of manipulation;
- not to conclude transactions in securities and other financial instruments performed only for the sake of appearance, without an intention to create the relevant legal consequences;
- to ensure that transactions, which are directed to be concluded by the Broker, are compliant with the requirements of the applicable legislation, including requirements to the major and related party transactions, making decisions participation and termination of participation in other organisations, and that the Client has obtained any and all necessary permits and approvals for submission by the Client of relevant Client's Orders/Instructions for transactions in financial instruments:
- to control indications of the unlawful use of the insider information in its activities, including when sending the Client's Orders/Instructions to the Broker for transactions in financial instruments;
- not to perform any actions aimed at defamation and/or discrediting the Broker's reputation, including dissemination of incomplete, unreliable and misleading information about the Broker;
- to bear tax and other obligations under transactions in financial instruments conducted by the Broker as provided for by the Legislation;
- use the Client Profile only for performance of the contractual obligations hereunder;
- to prevent disclosure and/or reproduction, and/or dissemination, whether in part or in full, of any information related to operation of the Client Profile and constituting a trade secret to third parties, and any other information (unless the Client is authorised by the Broker to disclose, and/or reproduce, and/or disseminate such information) which is made available to them as a result of operation of the Client Profile;
- to ensure the adequate protection of its information system which prevents further

- to notify the Broker in due time of any changes in the authorised persons of the Client to suspend the authentication keys or to obtain new ones;
- to carry out a regular reconciliation of the transactions performed through the Client Profile against the Broker's reports;
- to ensure a regular preventive maintenance, virus check and protection of the Client's remote workstations;
- if necessary, to provide the workstation users with contact with the Broker via other means of communication in case of failures in the Client Profile:
- if the Broker suffers any damage as a result of the user's incompliance with the obligatory conditions for non-disclosure of information materials, the Client shall compensate in full any direct damage to the Broker, and shall be also liable in accordance with the effective applicable Legislation;
- not to perform any actions aimed at:
  - ✓ obtaining information from the Client Profile which is not owned by or directly attributed to the Client, save for directly provided information on the progress of trades of the trade organisers, analytical reviews and other information from information agencies;
  - connection to the Client Profile with the use of someone else's identifier, or by guessing someone else's identifier and key;
  - ✓ use of available technical means in order to penetrate into the local networks of the Broker;
- any other actions which give rise to failures in the operation of separate subsystems and/or Client Profile as a whole;

The Client's failure to perform the abovementioned obligations shall form an unconditional basis for suspension or termination of the Client's access to trades with the use of the Client Profile;

 to assume other obligation provided for by the Legislation, the Broker's Internal Documents, or by the Contract.

- dissemination of the information obtained with the use of the Client Profile to third parties;
- to prevent unauthorized actions that threaten the functioning of the Client Profile or the security of the information contained therein;
- to notify the Broker in due time of any changes in the authorised persons of the Client to suspend the authentication keys or to obtain new ones;
- to carry out a regular reconciliation of the transactions performed through the Client Profile against the Broker's reports;
- to ensure a regular preventive maintenance, virus check and protection of the Client's remote workstations;
- if necessary, to provide the workstation users with contact with the Broker via other means of communication in case of failures in the Client Profile;
- if the Broker suffers any damage as a result of the user's incompliance with the obligatory conditions for non-disclosure of information materials, the Client shall compensate in full any direct damage to the Broker, and shall be also liable in accordance with the effective applicable Legislation;
- not to perform any actions aimed at:
  - obtaining information from the Client Profile which is not owned by or directly attributed to the Client, save for directly provided information on the progress of trades of the trade organisers, analytical reviews and other information from information agencies;
  - connection to the Client Profile with the use of someone else's identifier, or by guessing someone else's identifier and key;
  - ✓ use of available technical means in order to penetrate into the local networks of the Broker;
- any other actions which give rise to failures in the operation of separate subsystems and/or Client Profile as a whole;

The Client's failure to perform the abovementioned obligations shall form an unconditional basis for suspension or termination of the Client's access to trades with the use of the Client Profile;

- to assume other obligation provided for by the Legislation, the Broker's Internal Documents, or by the Contract.
- 7.6. The Client shall pay for services of the Stock Exchange, the Depository, Custodians, clearing organizations for accounting and custody of the Client's assets, banks for account replenishment and transfer, and other third parties in accordance with the set tariffs of these organizations. The Broker shall write off the above-mentioned commissions and the Broker's remuneration from the Client Personal Account within 10 (ten) business days after the end of the month for which the invoice was issued.
- 7.10. As agreed by the Parties, the Client shall independently pay the Broker's remuneration and
- 7.6. The Client shall pay for services of the Stock Exchange, the Depository, Custodians, clearing organizations for accounting and custody of the Client's assets, banks for account replenishment and transfer, and other third parties in accordance with the set tariffs of these organizations. The Broker shall write off the above-mentioned commissions and the Broker's remuneration from the Client Personal Account within 10 (ten) business days after the end of the month in which the services were rendered.
- 7.10. As agreed by the Parties, the Client shall independently pay the Broker's remuneration and

- compensate the third parties for services related to the execution of the Contract and the Client's Orders/Client's Instructions submitted on the basis thereof within 3 (three) business days after issuing the invoice/tax invoice.
- 7.13. The Broker shall provide a report on execution of the Client's Order and a notice of execution/nonexecution of operations on the Client's Order, in electronic format, including sending e-mail messages and/or providing information in the Client Profile, as well as to confirm execution/non-execution of the Client's Order/Instruction by a phone notification (call, SMS and other types of phone messages). In this case, statement from the Client Account and report on executed operations shall become available to the Client who uses the Services provided through the Client Profile - in the Client Profile not later than the business day following the registration date of the transaction. If the Client has any objections to the documents obtained, the Client shall within 3 (three) business days after the receipt notify the Broker thereof. Otherwise, the report on execution of the Client's Order and notice of execution/non-execution of operations under the Client's Order shall be deemed accepted by the Client and the Services shall be deemed rendered by the Broker in full and on the terms specified herein.
- compensate the third parties's fees for services related to the execution of the Contract and the Client's Orders/Client's Instructions submitted on the basis thereof within 10 (ten) business days after issuing the invoice/tax invoice.
- 7.13. The Broker shall provide a report on execution of the Client's Order and a notice of execution/nonexecution of operations on the Client's Order, in electronic format in the Client Profile, as well as to confirm execution/non-execution of the Client's Order/Instruction by a phone notification (call, SMS and other types of phone messages). In this case, statement from the Client Account and report on executed operations shall become available to the Client who uses the Services provided through the Client Profile - in the Client Profile not later than the business day following the registration date of the transaction. If the Client has any objections to the documents obtained, the Client shall within 3 (three) business days after the receipt notify the Broker thereof. Otherwise, the report on execution of the Client's Order and notice of execution/non-execution of operations under the Client's Order shall be deemed accepted by the Client and the Services shall be deemed rendered by the Broker in full and on the terms specified herein.